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John Maguire

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EXAMINER

INGVOLDSTAD, BENNETT

ART UNIT

PAPER NUMBER

2427

NOTIFICATION DATE

DELIVERY MODE

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ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

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<b>Office Action Summary</b>	<b>Application No.</b> 10/821,750	<b>Applicant(s)</b> MAGUIRE ET AL.	
	<b>Examiner</b> Bennett Ingvaldstad	<b>Art Unit</b> 2427	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 22 December 2010.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 70,74,79-93,95 and 97-106 is/are pending in the application.
- 4a) Of the above claim(s) 87 and 88 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 70,74,79-86,89-93,95 and 97-106 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## DETAILED ACTION

### *Response to Arguments*

Applicant's arguments filed 22 December 2010 have been fully considered, but they are moot in view of the new rejections.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

**Claims 80–86, 89-93, and 95 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement.** The claims contain subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventors, at the time the application was filed, had possession of the claimed invention. Claim 80 requires a storage device that is searchable by content. The claim also requires that the storage device stores video frames. However, the specification teaches that a content addressable memory stores pointers to video frames or representations of video frames, but does not actually store video frames. Para. 0049. Therefore, the claim and its dependents contain new matter.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claims 70, 74, 79–86, 89, 92, 93, and 95 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 5,226,177 (“Nickerson”) in view of US 5,517,251 (“Rector”) and US 5438535 (“Lattibeaudiere”).**

Claim 70. Nickerson teaches an apparatus for analyzing responses to at least one stimulus stream (visually perceptible display, Abstract) comprising an input for receiving responses from one or more respondents to the at least one stimulus stream (see Abstract, Fig. 1); a correlator including a processor configured to correlate the responses with time slices (SMPTE time codes, col. 6, ll. 48–58) of the stimulus stream to generate an associative mapping of the responses and the time slices of the stimulus stream (see Fig. 9: illustrating a mapping of the correlation of the response signal 130 with video time codes; col. 6, ll. 40–66; col. 8, ll. 4–7); a storage module coupled with the correlator to store the associative mapping as a table (col. 10, ll. 49–56, discussing tabulating the results); and a user interface operatively coupled with the storage module allowing an operative to search the associative mapping on the basis of analyses of the responses (see col. 10, ll. 49–56 (discussing various statistical analyses that may be performed on the correlated data)).

Nickerson does not further teach storing the table such that the table can be searched simultaneously and in parallel, and Nickerson does not further teach a user interface allowing an operator to retrieve time slices of the stimulus stream for display wherein playback of the time slices of the stimulus stream may be displayed on the user interface.

Rector teaches an apparatus for correlating a video signal with analog response signals (Abstract). The video signal may be stored as a digital signal correlated with the response signals (col. 2, ll. 59–61; col. 4, ll. 1–6; col. 7, ll. 7–18). The digital signals are associatively mapped by time slices such that the signals are synchronized and integrated in a single file (col. 3, ll. 11–17). The apparatus includes a user interface for searching the associative mapping and retrieving time slices of the stimulus stream for display, e.g., in order to display video correlating to anomalous response signals (col. 10, ll. 36–43; col. 11, ll. 5–11 & 40–50).

It would have been obvious to apply Rector's teaching of digitizing a video tape and correlating the digitized signal with response signals to the system of Nickerson for the purpose of rapidly analyzing the correlation between the video signal and the response signals (see Rector, col. 11, ll. 5–11 & 40–50).

Nickerson in view of Rector does not further teach storing the table such that the table can be searched simultaneously and in parallel to encompass correlated data for every time slice in the table.

Lattibeaudiere teaches a method of storing a table in a content-addressable memory such that the table can be searched simultaneously and in parallel (cols. 1 and 2).

It is obvious to combine known elements according to known methods to yield predictable results. Therefore, it would have been obvious to store the response data of Nickerson in view of Rector in the CAM of Lattibeaudiere to yield the predictable result of more quickly searching the results database (Lattibeaudiere col. 2, ll. 3–16).

Claim 74. Nickerson and Rector further teach storing a multi-channel associate mapping (see claim 70 rejection), thus implying a multi-channel associative cache (a memory, i.e. cache, for storing the multi-channel associative mapping).

Claim 79. Nickerson further teaches that the stimulus stream may be recorded (col. 7, ll. 18–24), i.e. delayed in time.

Claim 80. Nickerson teaches an apparatus for analyzing a response to a stimulus (Abstract), the apparatus comprising a stimulus input that receives a stimulus signal representing the stimulus (see Fig. 1; col. 2, ll. 58–68 (video program material is received by the central processor in order to overlay the response data on the video signal); Fig. 9); a response input that receives at least one response signal (col. 2, ll. 58–68; Fig. 9 (illustrating response curve 130)), each response signal being indicative of a response to the stimulus at a time slice of the received stimulus signal (see Fig. 9 (the horizontal axis of the response curve comprises time codes)); a correlator coupled with the stimulus input and the response input; and a storage module coupled with the

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correlator to store the associative mapping as a table (col. 10, ll. 49–56, discussing tabulating the results).

Nickerson does not further teach that the storage device is content searchable by an entire row or column of variables, or retrieving video frames responsive to a search.

Rector teaches a storage method for storing response data correlated with video data in an interleaved file format such that blocks representing different streams of data are interleaved with each other (col. 10 ll. 18–31). The analysis system allows a single variable to be analyzed across time (e.g. a heart rate variable may be analyzed for anomalies, col. 10, ll. 39–41) and across the variables (e.g., a display frame associated with the anomalous heart rate signal can be immediately retrieved, col. 10, ll. 41–42).

It would have been obvious to apply Rector's teaching of digitizing a video tape and correlating the digitized signal with response signals to the system of Nickerson for the purpose of rapidly and effectively analyzing the correlation between the video signal and the response signals (see Rector, col. 11, ll. 5–11 & 40–50).

Lattibeaudiere teaches a method of storing a table in a content-addressable memory such that the table can be searched by content (cols. 1 and 2).

It is obvious to combine known elements according to known methods to yield predictable results. Therefore, it would have been obvious to store the response data of Nickerson in view of Rector in the CAM of Lattibeaudiere to yield the predictable result of more quickly searching the results database (Lattibeaudiere col. 2, ll. 3–16).

Claim 81. Nickerson in view of Rector further teaches a multi-channel associative mapping for recording multiple response signals (Nickerson, col. 8, l. 42-55; Rector,

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Abstract), thus implying a multi-channel associative cache (a memory, i.e. cache, for storing the multi-channel associative mapping).

Claim 82. Nickerson further teaches that each digital time slice comprises a frame correlated with a response signal 130, since an SMPTE time code is stored on a per-frame basis.

Claim 83. Nickerson in view of Rector further teaches measuring an environmental condition and associating the measurements with the time slices in the associative mapping (Rector, col. 6, ll. 30–35).

Claim 84. Nickerson further teaches that the stimulus stream may be recorded (col. 7, ll. 18–24), i.e. delayed in time.

Claim 85. Nickerson teaches displaying the data as it received, i.e., contemporaneous with its creation (col. 8, l. 7-11).

Claim 86. Nickerson teaches that groups of responses may be associated with different variables (see Fig. 10); and that viewers may be disposed at different angles (e.g., in Fig. 1, a male user of response device 12 is disposed at a different angle than a female user of apparatus 14).

Claim 89. Nickerson teaches an analyzer for statistically analyzing the response signals (col. 10, l. 49–56), wherein the analyzer may be used to find selected segments of the stimulus signal, such as a "question 4" segment (Fig. 10).

Claim 92. Nickerson in view of Rector further teaches a graphical user interface for selecting which statistical analysis is performed (see Nickerson, col. 8, ll. 56–63).



Claim 93. Nickerson in view of Rector further teaches means for displaying the associative mapping (see Nickerson Fig. 9).

Claim 95. Nickerson in view of Rector further teaches storing the associative mapping so that the user can randomly select and access content from the storage device (see Rector, col. 11, ll. 5–11 & 40–50).

**Claim 90 is rejected under 35 U.S.C. 103(a) as being unpatentable over Nickerson in view of Rector, Lattibeaudiere, and the provided definition of “interpolation.”**

Claim 90. Nickerson teaches performing a statistical analysis on the response signals (col. 10, l. 49–56), but does not explicitly teach that the statistical analysis comprises an interpolation.

The provided definition 3.b. teaches that the “Method of Interpolation” is useful for determining an equation between time and a quantity determined by observations.

Therefore, it would have been obvious to use the described Method of Interpolation for the purpose of finding an equation between the time and the observed response signals, thus allowing for the inference of additional information based on the known information.

**Claim 91 is rejected under 35 U.S.C. 103(a) as being unpatentable over Nickerson in view of Rector, Lattibeaudiere, and the provided definition of “extrapolate.”**

Claim 91. Nickerson teaches performing a statistical analysis on the response signals (col. 10, l. 49–56), but does not explicitly teach that the statistical analysis comprises an extrapolation.

The provided definition 2.a. teaches that extrapolation is useful for estimating the values of a series outside a range in which some of its values are known.

Therefore, it would have been obvious to use the extrapolation method for the purpose of estimating the values of such series as the response curve 130 (Fig. 9) or the age groups (Fig. 10) outside of the known values in order to infer additional information based upon the known information.

**Claims 97 and 103–105 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nickerson in view of Rector and US 5802361 ("Wang").**

Claim 97. Nickerson teaches a method for analyzing responses to at least one stimulus stream (visually perceptible display, Abstract) comprising receiving responses from one or more respondents to a stimulus stream (see Abstract, Fig. 1); a correlator for associating the responses with time slices (SMPTE time codes, col. 6, ll. 48–58) in which the responses are made (see Fig. 9 (illustrating a mapping of the correlation of the response signal 130 with video time codes); col. 6, ll. 40–66; col. 8, ll. 4–7); a storage module coupled with the correlator to store the associative mapping including the responses and the time slices of the stimulus stream (col. 6, ll. 40–43); and a user interface operatively coupled with the storage module allowing an operative to search the associative mapping on the basis of analyses of the responses (see col. 10, ll. 49–

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56 (discussing various statistical analyses that may be performed on the correlated data)).

Nickerson does not further generating the claimed summary video.

Rector teaches a similar method for correlating response to video signals (Abstract). The apparatus further includes a user interface for searching the associative mapping for anomalous responses and retrieving the corresponding time slices of the stimulus stream for display (col. 10, ll. 36–43; col. 11, ll. 5–11 & 40–50). Rector thus displays a summary of the video pertaining to the search result. However, Rector does not further teach generating a summary video that is a series of non-contiguous video segments.

Wang teaches a method of searching video images comprising entering a search query (col. 4, ll. 42–64) and displaying the retrieved search results in a temporal order (col. 5, ll. 35–39), thus generating a summary of the retrieved search results.

It is obvious to combine known elements according to known methods to yield predictable results. Therefore, it would have been obvious to combine Wang's summarization method with the search system of Rector to yield the predictable result of providing a summary video corresponding to the retrieved search results, the summary video comprising non-contiguous segments depending on the matching data.

Claim 103. Nickerson and Rector further teach a multi-channel associative mapping for recording multiple response signals (Nickerson, col. 8, l. 42-55; Rector, Abstract).

Claim 104. Nickerson in view of Rector further teaches logging locations of stored time slices of the at least one stimulus stream so that the associative mapping directs retrieval of time slices for playback (Rector, col. 11, ll. 5–11 & 40–50).

Claim 105. Nickerson in view of Rector further teaches measuring an environmental condition and associating the measurements with the time slices in the associative mapping (Rector, col. 6, ll. 30–35).

**Claims 98–100 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nickerson in view of Rector, Wang, and US 5,812,642 (“Leroy”).**

Claim 98. Nickerson in view of Rector does not further teach determining whether an object is present in a time slice and associating the determination with the time slice in the associative mapping.

Leroy teaches a method for analyzing response signals to a promotion stimulus stream (Abstract) wherein the stimulus stream comprises objects such as people (see Fig. 7: "BOB"; and col. 7, ll. 42–60). The method determines which time slices the object is present in (see Fig. 7). The determination is associating with a time slice in an associative mapping of the stimulus stream, the time data, and the response data (see Fig. 7).

It would have been obvious to add Leroy's method for determining when objects are present in the stimulus stream for the purpose of analyzing the response data with respect to a particular object, thus providing more specific information about the response associated with that object (see Leroy, col. 7, ll. 50–52).

Claim 99. Leroy further teaches that the object comprises a person (col. 7, ll. 42–60).

Claim 100. Nickerson further teaches that the stimulus stream is a video (col. 7, l. 59-68), which comprises an audio stream.

**Claims 101 and 102 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nickerson in view of Rector, Leroy, Wang, and Cobbley.**

Claims 101, 102. Nickerson in view of Rector and Leroy does not further teach an automatic audio analyzer for analyzing the audio stream to produce text strings that are searchable through the user interface.

Cobbley teaches an apparatus for selectively playing back segments of a video by selecting index information associated with the segments (Abstract). The user may search for text strings to locate the selectable segment (col. 10, ll. 21–38; col. 12, ll. 41–56). The text strings may be generated by an automatic audio analyzer (col. 4, ll. 41–43).

It is obvious to combine known elements according to known methods to yield predictable results. Therefore, it would have been obvious to combine Cobbley's automatic audio analyzer with the apparatus of Nickerson in view of Rector and Leroy according to the described method of searching for and selecting video segments using text strings, thus yielding predictable results because the apparatus divides videos into selectable segments (time slices).

**Claim 106 is rejected under 35 U.S.C. 103(a) as being unpatentable over Nickerson in view of Rector, Lattibeaudiere, and US 6104948 ("Bogart").**

Nickerson in view of Rector do not teach that multiple video streams are stored in the associative mapping. Bogart teaches a similar system for storing response data correlated with video data (Abstract). Multiple video streams may be correlated (Fig. 2; col. 2, ll. 54–56), the video streams including a scene video and a subject video (col. 3, ll. 30–31). Like in Rector, the stored data may be stored both as a videotape and digitally in long term memory for analysis (col. 4, ll. 35–41).

It would have been obvious to correlate responses with multiple video streams as taught by Bogart for the purpose of providing a scene view of a subject and a subject view, thus storing both broad and detailed stimulus information to allow a better analysis of the responses.

***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bennett Ingvaldstad whose telephone number is (571) 270-3431. The examiner can normally be reached on M–F 9–5 EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Scott Beliveau can be reached on (571) 272-7343. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Bennett Ingvaldstad/  
Examiner, Art Unit 2427

/Scott Beliveau/  
Supervisory Patent Examiner, Art Unit 2427